

08/16/01

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31133 U.S. PTO

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PTO/SB/05 (11-00)

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31133 U.S. PTO
08/16/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01985-P0040B
 First Inventor Gary S. Foster, et al.
 Title Trading Party Profiles In System For Facilitating
 Express Mail Label No. EL574212068US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 35]
 (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims(s)
 - Abstract of the Disclosure
4. ☒ Drawings(s) (35 USC 113) [Total Sheets 5]
5. ☒ Oath or Declaration [Total Pages 5]
- a. ☒ New executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.
16. ☐ Other.....
17. ☐

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application No.: 09/504,803

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label

24126

(Provide Customer No., or Attach bar code label here)

☒ Correspondence address below

Name Todd M. Oberdick

Address St. Onge Steward Johnston & Reens LLC
986 Bedford Street

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Name (Print/Type) Todd M. Oberdick Registration No. (Attorney/Agent) 44,268

Signature [Signature] Date 8/16/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application No.	- Pending
Filing Date	August 16, 2001
First Named Inventor	Gary S. Foster, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	01985-P0040B WWW/TMO

TOTAL AMOUNT OF PAYMENT

(\$ 552.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 19-4516
Deposit Account Name: St. Onge Steward Johnston & Reens LLC

- ☒ Charge Any Additional Fee Required Under CFR 1.16 and 1.17
☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed.

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Code	Small Fee Code	Entity Code	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	180	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					

2. EXTRA CLAIMS FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
33	13	9	117.00
4	1	40	40.00

Large Fee Code	Entity Code	Small Fee Code	Entity Code	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claims, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

** or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2) (\$)

157.00

* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$)

40.00

SUBMITTED BY St. Onge Steward Johnston & Reens LLC

Complete (if applicable)

Name (Print Type)	Todd M. Oberdick	Registration No. (Attorney/Agent)	44,268	Telephone	203 324-6155
Signature	Todd M. Oberdick	Date	8/16/2001		

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Express Mail No. EL57421208US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Gary S. Foster, et al.
Serial No. - Pending	August 16, 2001
Title of Application:	Trading Party Profiles In System For Facilitating Trade Processing And Trade Management

Assistant Commissioner for Patents
Washington, DC 20231

Cover Sheet For Five Sheets Of Drawings

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August 16, 2001


Linda A. Ferranti